UNITED STATES
COMMODITY FUTURES TRADING COMMISSION
Three Lafayette Centre
1155 21st Street, NW
Washington, DC 20581

Meeting Title: Meeting with Rothera

Date: 10/5/2026

CFTC Attendees: Tyler Badgley, Aaron Levine

External Attendees: Tom Chippas, Laurian Cristea, Ruth Lunsford, Kevin Batteh

Name of Organization Represented by External Attendees: Rothera

Meeting Summary: Discussed conflicts and affiliations rulemaking, including proposed affiliate market maker provisions.